

WCET and SAN Member Virtual Policy Series

Practical Approaches to Compliance



Welcome

December 8

The webcast will begin shortly.

There is no audio being broadcast at this time.

An archive of the webcast will be available next week.



Welcome!

Use the question box for questions and information exchange.

Links to the recording and available resources will be emailed to attendees by next week.



Kim Nawrocki
Coordinator, Events and Programs
WCET
knawrocki@wiche.edu



Professional Licensure

December 8, 2021



Questions from the Audience



If you have a question during the presentation, please add it to the question box.

We will monitor the question box and have time for Q&A at the end of the webcast.



Moderator

Megan Raymond

Senior Director, Programs &
Membership

WCET



Speakers



Monica Brooks

Associate Vice President for Online
Learning and Dean of Libraries
Marshall University



Rachael Stachowiak

Director, Interstate Policy & Compliance
State Authorization Network
WCET



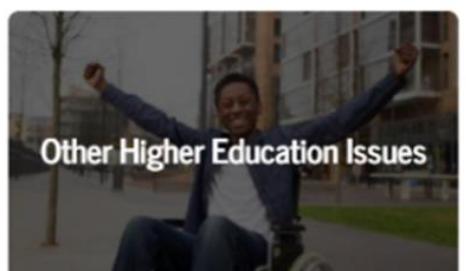
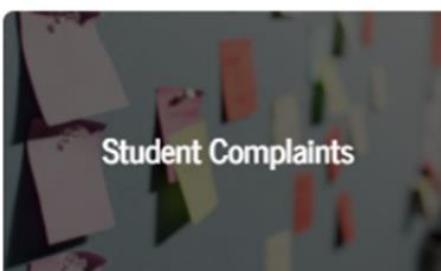
Resources by topic

- Research
- Regulation summaries
- Handbooks
- Talking points
- Sample tools
- More



wcetsan.wiche.edu

Topic Areas



Intersections of State Authority

Institutional approval (authorization, license, certification)

Department of Education/Higher Education

Degree-granting authority; consumer protection

Professional licensing

Respective by state/profession

Standards + enforcement of practice

State registration

Department of Labor/Taxation, Secretary of State/Treasury

Operations; employment; taxation

State Attorney General

Investigation + litigation; disclosure; privacy and protection of data



Federal Regulations tie compliance to participation in Title IV HEA programs.

- Definition of state authorization reciprocity agreement (34 CFR 600.2).
- State Authorization (34 CFR 600.9(c)).
- Professional Licensure Notifications (34 CFR 668.3(a)(5)(v) & 668.43(c)).
- Contact information for filing complaints (34 CFR 668.43(b)).
- Misrepresentation (34 CFR 668.71) (34 CFR 668.72).
- Student Identification Verification (34 CFR 602.17(h)).



It's the law and it
must be followed,
regardless of whether
you agree with the law.





Four Key Elements

34 CFR 668.43 - Institutional Information

Professional Licensure Notifications - 668.43(a)(5)(v) & 668.43(c)

1. Regulations address all modalities (includes Face to Face and Online).
2. Understand the type of educational programs subject to notifications.
3. Digest information contained in a **Public Notification**.
4. Building upon Public Notification, know when **AND** what information is to be provided for a **Direct/Individual Notification**.



Which Programs Require Notifications?

34 CFR 668.43(a)(5)(v)

Designed to meet educational requirements

- (1) for a **specific professional** license or certification,
- (2) and that license or certification is **required for employment** in an occupation.

OR

Advertised as meeting the educational requirements for such license or certification.



Questions to ask about your programs

1. Is the educational program **designed to meet educational requirements for a specific professional license or certification?**
2. Is the **license or certification required for employment** in the occupation?
3. Is **completion of the program** sufficient to **meet STATE licensure requirements?**
4. Or did the institution **advertise** that the program meets requirements?



Public Notification

34 CFR 668.43(a)(5)(v)



Yes, curriculum meets state requirements.



No, curriculum does **not** meet state requirements.



Unable to make a determination.

Direct Notification

34 CFR 668.43(c)

If “No” or “Unable to make a determination”,
additional actions are required!

A written notice to that effect must go to both:

Prospective Student

- Based on location.
- *Prior to enrollment = prior to financial commitment.*

Enrolled Student

- Based on location.
- Within 14 days of making such determination.



Journey Map

to professional
licensure compliance*

Execute, review, adjust.

Direct Disclosure

Issue a direct notice to the student when:

- ✗ No, curriculum does **not** meet state requirements
- ? Unable to make a determination

Public Disclosure

Per program + state; one of three statements:

- ✓ Yes, curriculum meets state requirements
- ✗ No, curriculum does **not** meet state requirements
- ? Unable to make a determination



Research & seek applicable approvals

Prioritize programs and locations. Identify subject matter experts within the institution. Compare requirements against the curriculum. Seek approval where required.



Program assessment

Create a list of ALL educational programs (face to face and distance education that are **designed** or **advertised** to lead to a professional license.



Location, location, location

Knowing the location of the educational activity is critical to developing an awareness of the regulatory requirements in a given jurisdiction.

Suggested practices

Anticipate relocation scenarios: Create and share a policy to address procedures and potential complications should the student change physical locations; don't neglect applicable state obligations to the student and the institution.

Provide context: Help the student understand why they are receiving the disclosure.

Publish **contact information** where students may send inquiries to the institution (ex. Admissions, academic affairs, compliance staff, etc.).

Create a master list of where disclosures are published and saved to **ensure consistent updates can be made to *all*** as needed.

Marshall University

- Located in Huntington, WV.
- Founded in 1837.
- University status in 1961.
- R2 since 2018 with close to 12,000 students.
- Average class 20; faculty-student ratio 1:18.
- NCAA Division I, Conference USA.
- 57 BAs, 54 MAs, 4 Docs, 3 Prof. Degrees.
- 38% of our students are Pell eligible.



Marshall University

- 7 of 13 colleges/schools have professional development programs.
- 26 programs require licensure.
- The majority reside in the College of Education and College of Health Professions.
- The Medical School has several licensure programs and reports to the President.



Distance Education @ Marshall



- Began in 1998:
 - 40 online sections; 390 enrollments.
- As of Fall 2021:
 - 830 sections ; 12,956 enrollments.
- 31 online and hybrid degree programs:
 - 3 Doctorates.
 - 20 Master's Degrees.
 - 8 Bachelor's Degrees.
- 18 Post-Bac Programs & Graduate Certificates.



Why is the Librarian involved?



- Budget cuts & personnel changes, 2009.
 - State authorizations compliance.
- More organizational changes, 2016.
 - Decentralized compliance duties.
- More Regulations – ***Help!***
 - Associate General Counsel partnership begins in 2018.
 - *Monica and Jendonnae Houdyschell go to the SAN Back to Basics Workshop, Boulder, CO (2018).*



2020: The *Lost* Year

COVID-19

MU suspends F2F classes.

Classes resume on March 30 with no F2F meetings.

Spring '21 resumes with masks and social distancing.

20 Mar. 2020

23 Mar. 2020

30 Mar. 2020

Summer/Fall 2020

Spring 2021

WV's Governor declares "stay-at-home" order for the entire state.

Courses are online or virtual; only freshmen are brought back to campus.





Microsoft Teams

- MUIT launched Teams in 2019 to replace Skype for Business etc.; but adoption was slow.
- During the SAH order, Teams became the primary workspace for document sharing, collaboration, and meetings.
- Most faculty also moved to Teams for virtual course delivery and lecture capture.
- Included with the MS 365 suite; *free* to us!



Prof. Licensure Disclosures Team

- Before the July 2020 deadline, we compiled our disclosures website and began spreading the word.
- The regulation, SAN checklists, and sample letters were shared many times, but very few disclosures came back to us.

Word-of-mouth was not effective!

The PLD Team was born in November of 2020



Prof. Licensure Disclosures Team

Screenshot of the Microsoft Teams 'Your teams' page for Marshall University, showing the Professional Licensure Disclosures team as the active tab. The page displays a grid of 15 teams, with a detailed view of the 'Professional Licensure Disclosures' team on the right.

Teams

Activity Chat Assignments Teams Calendar Calls Files ...

Search ... Marshall University  Join or create team

Professional Licensure Disclosures

Distance Education Support Community

Copyright Education at Marshall

Library Faculty Promotion

EP

Electronic Promotion & Tenure Task Force

Hispanic Heritage Month Planning Committee

Mentors - 2021 John Marshall Leadership...

ITL-280-101 SpTp: Intro Digital Literacy

Curriculum & Instruction EdD Team

Textbook loan progr...

HerdCon Admin

EP

Electronic Promotion and Tenure Practice Site

DLS Planning

MU Microsoft Teams Early Adopter - non-IT Staff &...

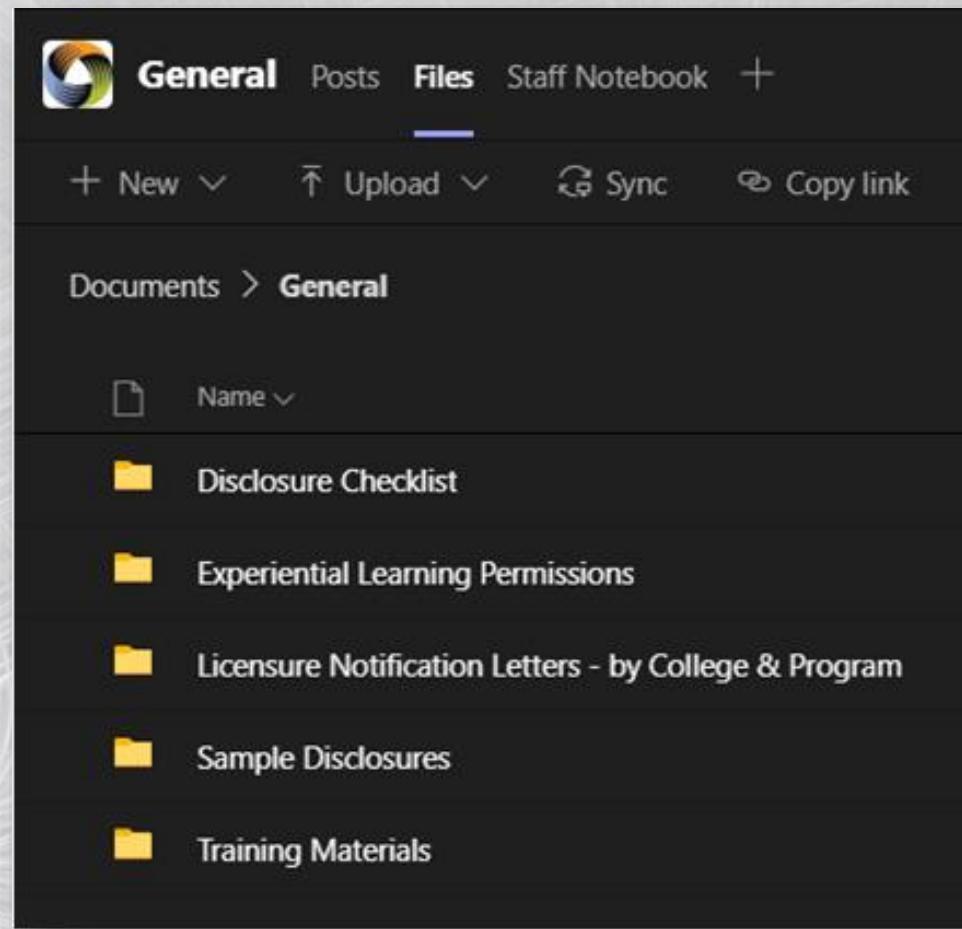
LFO Faculty H Committee

Professional Licensure Disclosures

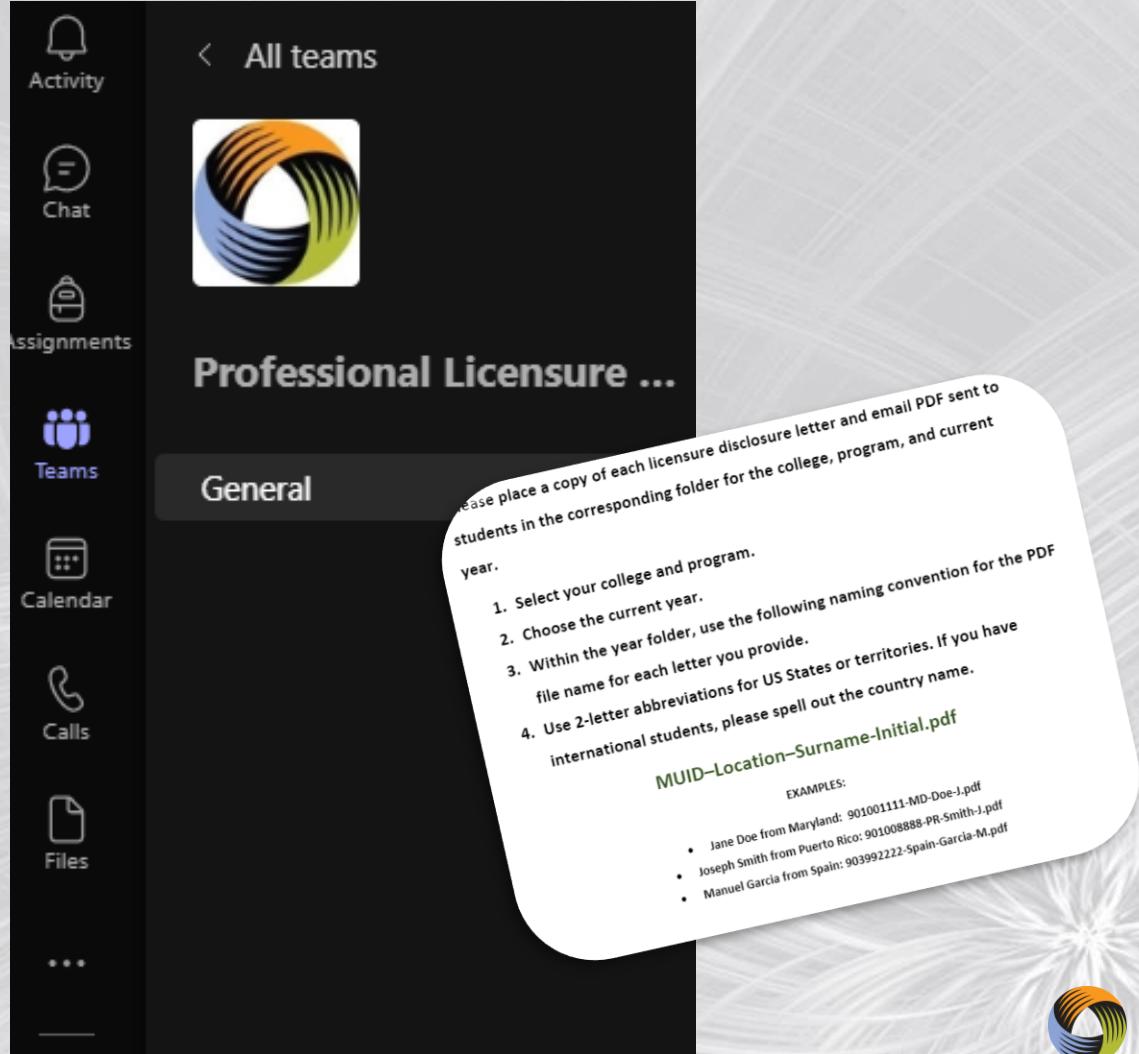
The 'Professional Licensure Disclosures' team view shows its icon (a blue and green circular logo), name, and a brief description: 'Professional Licensure Disclosures'.

Prof. Licensure Disclosures Team

- The Library Admin. Assistant was trained on using Teams.
- We compiled instructions and a naming convention for the folders to serve as an archive.
- Reps from each college were added.



Prof. Licensure Disclosures Team



The screenshot shows a Microsoft Teams interface. On the left is a vertical sidebar with icons for Activity, Chat, Assignments, Teams (selected), Calendar, Calls, and Files. The main area shows a channel named 'Professional Licensure Disclosures' with a colorful circular icon. The 'General' tab is selected. A callout bubble from the 'General' tab contains the following text and instructions:

Please place a copy of each licensure disclosure letter and email PDF sent to students in the corresponding folder for the college, program, and current year.

1. Select your college and program.
2. Choose the current year.
3. Within the year folder, use the following naming convention for the PDF file name for each letter you provide.
4. Use 2-letter abbreviations for US States or territories. If you have international students, please spell out the country name.

MUID–Location–Surname–Initial.pdf

EXAMPLES:

- Jane Doe from Maryland: 901001111-MD-Doe-J.pdf
- Joseph Smith from Puerto Rico: 901008888-PR-Smith-J.pdf
- Manuel Garcia from Spain: 903992222-Spain-Garcia-M.pdf

- Instructions.
- Samples & Handouts.
- Checklists.
- Folders
 - *By College,*
 - *By Program,*
 - *By Academic Year.*



Prof. Licensure Disclosures Team

General Posts Files Staff Notebook +

+ New ▾ Upload ▾ Sync Copy link Download Open in SharePoint

Documents > General > **Licensure Notification Letters - by College & Program**

Name ▾	Modified ▾	Modified By ▾
Aviation	September 20	Brooks, Monica
College of Business	November 10, 2020	Brooks, Monica
College of Education and Professional Development	November 10, 2020	McPherson, Krystal
College of Engineering and Computer Sciences	November 10, 2020	McPherson, Krystal
College of Health Professions	November 10, 2020	McPherson, Krystal
College of Liberal Arts	November 10, 2020	McPherson, Krystal
Medical School	November 10, 2020	McPherson, Krystal
School of Pharmacy	November 10, 2020	McPherson, Krystal
!-Instructions.docx	December 15, 2020	Brooks, Monica

File Management

Documents > General > **Licensure Notification Letters - by College & Program**

Name ▾
Counseling (MA)
Deaf and Hard of Hearing, and Visual Impairments MA ...
Early Childhood Education (BA, MA or Endorsement)
Elementary Education (BA)
Elementary Math Specialist (Endorsement)
Literacy Education (MA or Endorsement)
Mathematics through Algebra I (Endorsement)
Pre-school Special Needs (Endorsement)
School Library Media Specialist (Endorsement)
School Principalship (Licensure or MA)



Prof. Licensure Disclosures Team

General Posts Files Staff Notebook +

+ New Upload Sync Copy link Download

Documents > General > Licensure Notification Letters - by College & Program > Counseling (MA)

Name	Modified	Modified
Counseling (MA)	November 10, 2020	McPherson
Deaf and Hard of Hearing Counseling (MA) Visual Impai...	November 16, 2020	McPherson
Early Childhood Education (BA, MA or Endo...	November 10, 2020	McPherson

Licensure Notification Letter - Counseling (MA)

Marshall University
College of Education & Professional Development
Office of the Dean
100 Angus E. Peyton Drive
South Charleston, WV 25303-1600
Tel: 304-746-1992
Fax: 304-746-2074
marshall.edu

Summerville, SC 29483
Dear,

You are receiving this letter because you have been identified as an admitted student in a program whose curriculum meets the requirement that leads or can potentially lead to professional licensure in the state of West Virginia. We want to make you aware that even though this program's curriculum leads or can potentially lead to professional licensure in the state of West Virginia, our records indicate you are currently located in South Carolina and are enrolled in the Counseling (MA) program. Please let us know if your location changes.

The South Carolina Dept. of Labor Licensing Regulations Division of Professional & Occupational Licensing, upon completion of the program, they require you to apply to The Arkansas Board of Examiners in Counseling and Family Therapy.

The information provided is strictly limited to the University's determination of whether its Counseling (MA) program is sufficient to meet licensure or certification requirements unrelated to its educational programming. The information provided does not guarantee that any particular state licensure or certification entity will approve or deny your application. Furthermore, this disclosure does not account for changes in state law or regulation that may affect your application for licensure or certification. If you require further information, please contact me directly at 304-696-2912 or lengl@marshall.edu.

Sincerely,

College of Education and Professional Development
One John Marshall Drive
Huntington, WV 25755
304-696-2912

To file a complaint please follow this link: <https://www.marshall.edu/disclosures/studentcomplaints/>

Marshall University
College of Education & Professional Development
Office of the Dean
100 Angus E. Peyton Drive
South Charleston, WV 25303-1600
Tel: 304-746-1992
Fax: 304-746-2074
marshall.edu

March 29, 2021
Searcy, Arkansas 72148
Dear,

You are receiving this letter because you have been identified as an admitted student in a program whose curriculum meets the requirement that leads or can potentially lead to professional licensure in the state of West Virginia. We want to make you aware that even though this program's curriculum leads or can lead to licensure in West Virginia that does not guarantee it will meet licensure requirements will be met in other states. Our records indicate you are currently located in Arkansas and are enrolled in the Counseling: Mental Health program. Please let us know if your location changes.

The Arkansas Board of Examiners in Counseling and Marriage and Family Therapy as of, March 29, 2021 stated that they review each applicant on a case-by-case basis. Their application offers a space for the applicant to add that they have completed a program/degree from an institution outside of Arkansas.

Therefore, upon completion of the program, they require you to apply to The Arkansas Board of Examiners in Counseling and Marriage and Family Therapy.

The information provided is strictly limited to the University's determination of whether its Counseling: Mental Health program, if successfully completed, would be sufficient to meet the professional licensure requirements. Marshall University cannot provide verification of an individual's ability to meet licensure or certification requirements unrelated to its educational programming.

The information provided does not provide any guarantee that any particular state licensure or certification entity will approve or deny your application. Furthermore, this disclosure does not account for changes in state law or regulation that may affect your application for licensure or certification. If you require further information, please contact me directly at 304-696-2912 or lengl@marshall.edu.

Sincerely,

College of Education and Professional Development
One John Marshall Drive
Huntington, WV 25755
304-696-2912

To file a complaint please follow this link: <https://www.marshall.edu/disclosures/studentcomplaints/>

Marshall University
College of Education & Professional Development
Office of the Dean
100 Angus E. Peyton Drive
South Charleston, WV 25303-1600
Tel: 304-746-1992
Fax: 304-746-2074
marshall.edu

BE PROUD.
BE A SON OR DAUGHTER OF MARSHALL.

Disclosures Archive



File Management

- Folders can be synced to the Team owner's desktop to allow for drag/drop/search.
- Using our naming convention allows General Counsel to locate disclosures by student, MU student ID number, or state.
- User information is retained/time-stamped so Team owners can easily contact college/school employees responsible for disclosures.



A close-up photograph of a red pushpin with a black stem, pinned into a light blue map. The map shows various geographical features like rivers and roads. The pushpin is positioned on a red line, likely representing a path or a specific route.

Location Change Reports

- An automated report is sent to licensure@marshall.edu from the SIS when students change locations.
- The Team is used to notify colleges/schools of these changes during the semester so new disclosures can be sent.



PLD Training



Response improved but was still not adequate



In December we used the Team as our training platform



Added group email:
licensure@marshall.edu

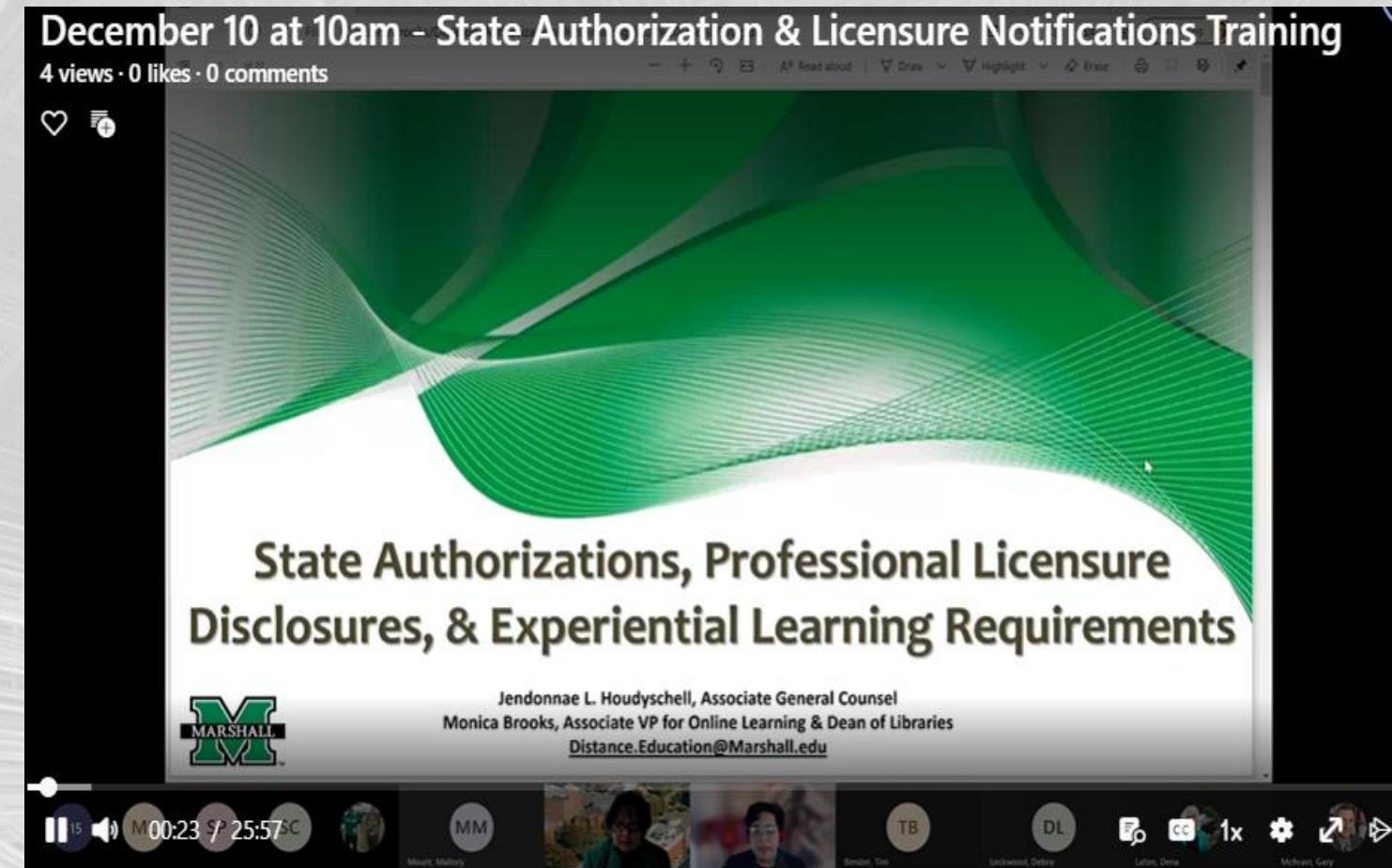
December 10 at 10am - State Authorization & Licensure Notifications Training

4 views · 0 likes · 0 comments

State Authorizations, Professional Licensure Disclosures, & Experiential Learning Requirements

Jendonnae L. Houdyschell, Associate General Counsel
Monica Brooks, Associate VP for Online Learning & Dean of Libraries
Distance.Education@Marshall.edu

15 00:23 / 25:57 SC MM Mount, Mallory Bland, Tam DL 1x CC Lockwood, Debra Laton, Devra Michaels, Gary



The Catalyst



- Training sparked campus wide interest!
- We met with several colleges after that event.
- We ran new workshops each term.

The WV Higher Education Policy Commission also launched statewide training to help WV institutions comply with the regulations.



What Worked

- Everyone was using the platform.
- Perfect archive accessible to all stakeholders.
- Training could be delivered and housed in the same place.
- Q&A messages/chats could also be conducted here.

Archiving letters *for* the units also improved our results!



What Did Not Work

- We were silly to assume people would use our naming convention.
- Not everyone is adept at using folders or files.
- It was (and still is) difficult to compel everyone to comply with the disclosure requirement.



Wish List and Next Steps

- Better campus communication.
- Staffing support and the need for personnel to centralize university compliance.
- Admin support to foster better understanding of requirements among the colleges/schools.





Ultimate Wish

A full-time position dedicated to licensure disclosures, experiential learning, and Financial Aid reporting requirements.

We also use Teams to report “regular and substantive interaction” for distance education courses to the US Dept. of Ed!



Questions from
the Audience



Contact Information



Monica Brooks
monica.brooks@marshall.edu



Megan Raymond
mraymond@wiche.edu



Rachael Stachowiak
rstachowiak@wiche.edu





More about the State Authorization Network (SAN)

- Visit us online at wcetSAN.wiche.edu to learn about the various institutional responsibilities for out-of-state activity compliance.
- Membership information at wcetSAN.wiche.edu/membership
- **Upcoming!** SAN Basics Virtual Workshop
March 2, 3, 4, 2022

New to State Authorization? Hands-on event to help new and relatively new compliance staff understand and navigate the nuances of out-of-state activity compliance.

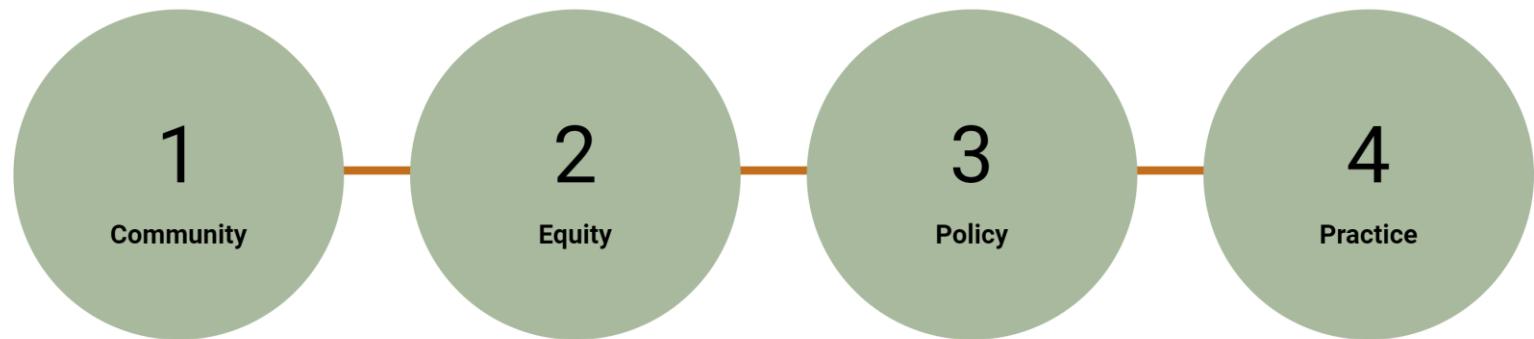
[Register Today!](#)

Learn More and Stay Connected

Visit wcet.wiche.edu to explore our Policy and Practice work, Events, Membership, and Sponsorship.

Learn more about the benefits of joining our community:
wcet.wiche.edu/join-us

WCET is the leader in the practice, policy, & advocacy of digital learning in higher education.



Policy Series Recordings and Additional Resources

Series recordings and any additional resources will be emailed to registrants by next week.

Email knawrocki@wiche.edu with questions.



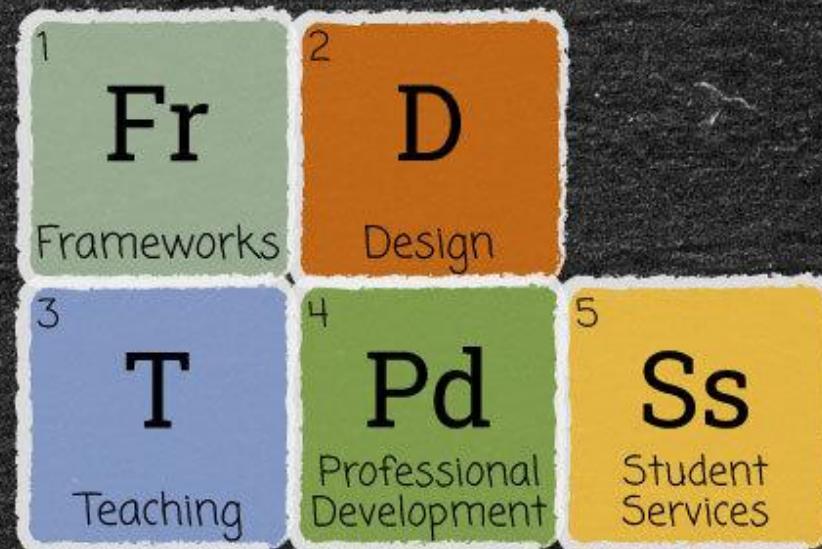
Save the Date – Registration Coming Soon!

Elements of Quality Digital Learning

WCET Virtual Summit

Exclusively for WCET Members

April 6, 2022



Blackboard®

 CENGAGE

 BibliU

 Labster

 straighterline™

 GUILD



 LearningMate™

 WILEY
EDUCATION SERVICES



 D2L™

 turnitin™



 QUOTTLY



 Pistis.io

 iDesign
 MINDWIRRES

Thank you
WCET Annual
Sponsors

Learn more:
wcet.wiche.edu/sponsorship

Thank you Supporting Members for your
commitment to WCET and digital learning

Colorado State University

Michigan State University

University of Florida



Thank you for joining us!

wcet.wiche.edu

